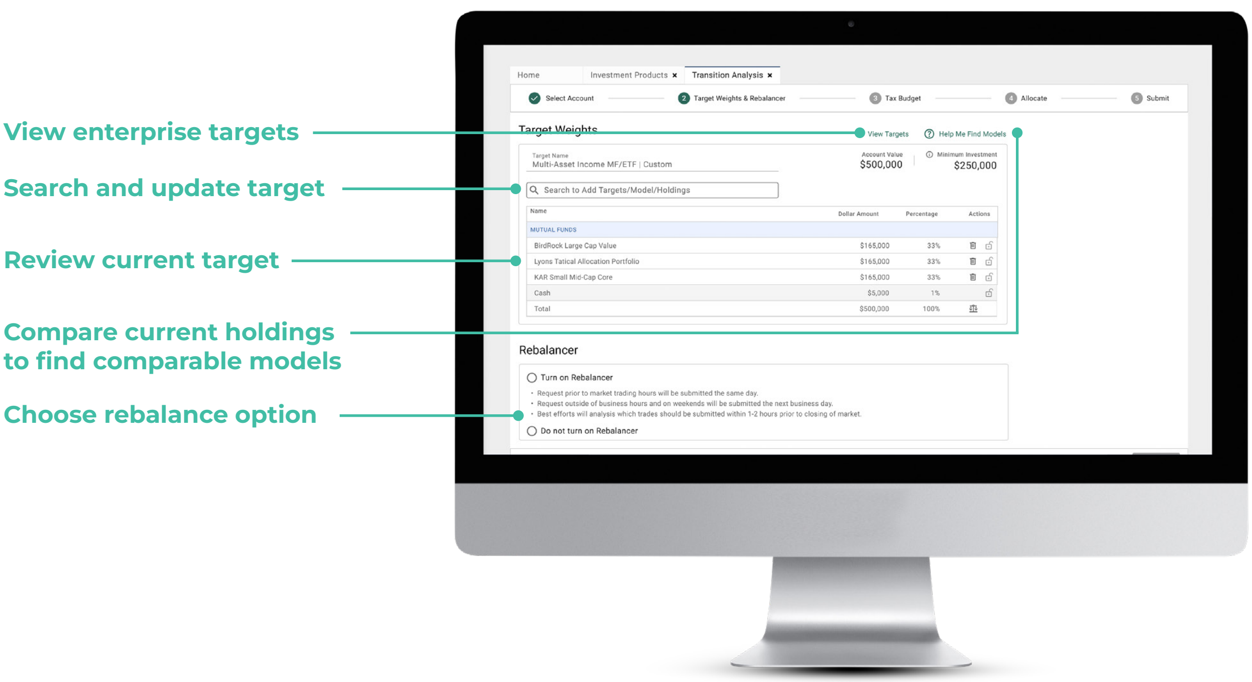
TRANSFORMING ADVISOR WORKFLOWS

MAXIMIZE TAX EFFICIENCY WITH THE SMARTX TRANSITION ANALYSIS TOOL

Empower your team to seamlessly migrate client accounts to new investment models while minimizing tax impact—no additional cost or set up required.

Designed to streamline the complex and time-consuming process of transitioning portfolios to new investments, the SMArtX Transition Analysis Tool features powerful customization and automation capabilities, making driving tax efficiency less burdensome for financial advisors.

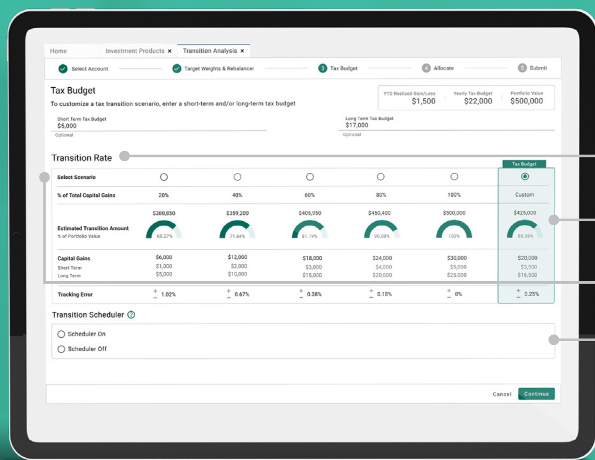
This tool— seamlessly integrated into the SMArtX Platform—helps advisors pinpoint opportunities to leverage existing positions and minimize unnecessary selling, potentially reducing capital gains taxes.



CUSTOMIZABLE TAX BUDGET SCENARIOS

Portfolio transitions can cause major tax implications. Advisors can define a tax budget that corresponds to the client's investment needs through our tool, providing a clear roadmap and consistent approach to navigating tax hurdles.

Advisors can help clients avoid unexpected tax bills and achieve their long-term financial goals faster by minimizing tax drag during portfolio transitions.



Review scenarios

Enter a custom tax budget

Select transition

Set a transition schedule

AUTOMATED TAX-AWARE SELLING

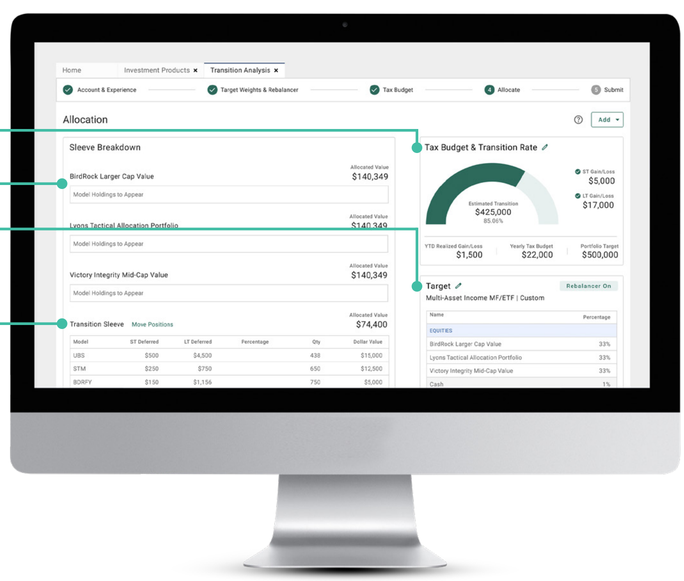
Advisors can set the tool to run automatically on a specified schedule to find additional opportunities to sell down legacy positions while adhering to the tax budget, eliminating the need to monitor legacy holdings year after year.

Review impact of transition scenario

Add a protected sleeve and move positions

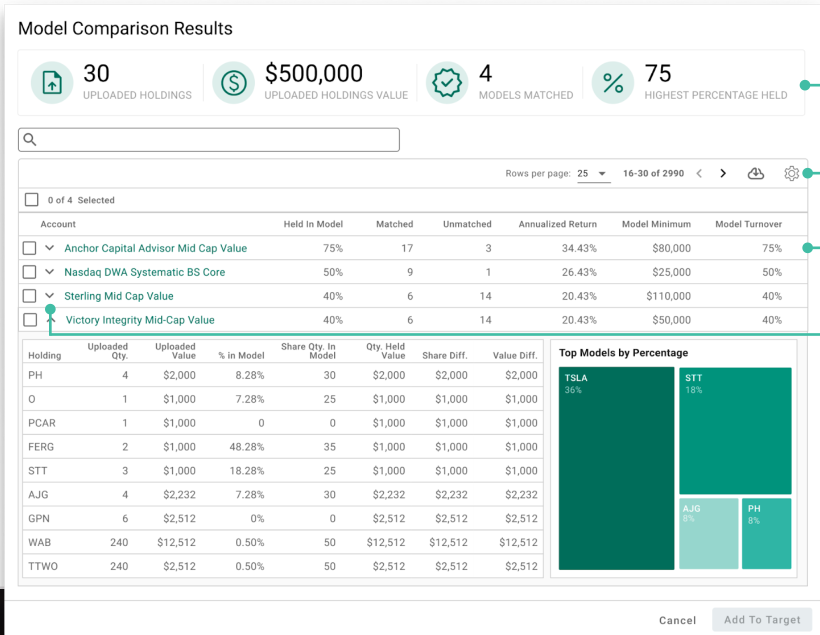
Make edits to the target and/or budget

Exclude or substitute positions



INSTANT OVERLAP ANALYSIS

Transitioning portfolios and analyzing existing holdings is manual and tedious. We created Instant Overlap Analysis to automatically compare existing holdings with investment models.



Account	Held In Model	Matched	Unmatched	Annualized Return	Model Minimum	Model Turnover
Anchor Capital Advisor Mid Cap Value	75%	17	3	34.43%	$80,000	75%
Nasdaq DWA Systematic BS Core	50%	9	1	26.43%	$25,000	50%
Sterling Mid Cap Value	40%	6	14	20.43%	$110,000	40%
Victory Integrity Mid-Cap Value	40%	6	14	20.43%	$50,000	40%

Holding	Uploaded Qty.	Uploaded Value	% in Model	Share Qty. In Model	Qty. Held Value	Share Diff.	Value Diff.
PH	4	$2,000	8.28%	30	$2,000	$2,000	$2,000
O	1	$1,000	7.28%	25	$1,000	$1,000	$1,000
PCAR	1	$1,000	0	0	$1,000	$1,000	$1,000
FERG	2	$1,000	48.28%	35	$1,000	$1,000	$1,000
STT	3	$1,000	18.28%	25	$1,000	$1,000	$1,000
AJG	4	$2,232	7.28%	30	$2,232	$2,232	$2,232
GPN	6	$2,512	0%	0	$2,512	$2,512	$2,512
WAB	240	$12,512	0.50%	50	$12,512	$12,512	$12,512
TTWO	240	$2,512	0.50%	50	$2,512	$2,512	$2,512

Review comparison summary results

Sort the results on key statistics

View overlap and statistics for each matching model

Dive into the details of the position overlap

LEARN MORE

Whether your team wants to transition client accounts onto the SMArtX platform for the first time, leverage third-party models, or find an easier way to manage complex portfolios, the SMArtX Transition Analysis Tool can help you and your firm accomplish your goals.

Want to see a demo of the SMArtX Transition Analysis Tool in action? Book a time to talk to us by scanning the QR code here or visiting www.smartxadvisory.com.

BOOK A MEETING

MODERNIZE ASSET MANAGEMENT WITH SMARTX: THE FOUNDATION OF INNOVATION

SMArtX is revolutionizing managed account administration in wealth management. Through cutting-edge technology that automates investment processes and simplifies client account management, it's the future-forward solution for multiple RIAs, broker-dealers, asset managers, custodians, and FinTech firms seeking to defy the limitation of managed account technology as it exists today.

GET IN TOUCH

SMArtX Advisory Solutions

105 South Narcissus Avenue, Suite 701

West Palm Beach, FL 33401

Tel: 561.835.8690

sales@smartxadvisory.com

smartxadvisory.com

This brochure discusses the uses and features of the SMArtX portfolio tax transition tool. It was designed to relieve advisors from the manual exercise of transitioning portfolios. Results may vary and are dependent on tax lot data from each custodian and pricing data from our vendors.

SMArtX does not provide tax advice and the SMArtX portfolio tax transition tool is not a substitute for tailored tax advisory services. Clients are advised to seek tax advice from an independent licensed tax professional.

SMArtX Advisory Solutions